



Brain Tumor Research Awards Program 2010 Grant Cycle

Notification to Candidates

Candidates will be notified by email upon receipt of their applications by the Goldhirsh Foundation. The Goldhirsh Foundation will notify by email those investigators invited to submit a Full Proposal application in March 2010. It is the investigator's responsibility to confirm receipt of all emails from the Goldhirsh Foundation. Final notification to grant recipients will be by email on or before June 1, 2010.

Terms of the Award

Award Amount and Funding Period: Two-Year Awards totaling \$400,000 (\$200,000 per year) and One-Year Pilot Studies of up to \$100,000 will be awarded for the funding period of July 1, 2010 – June 30, 2012 and July 1, 2010 – June 30, 2011 respectively. The start date may be postponed for up to three months without a formal request. Longer delays must be approved by The Goldhirsh Foundation. Awards are made to nonprofit academic, medical or research institutions on behalf of the Award Recipients. The Award Recipient Institution is responsible for the scientific, administrative and financial management of the overall project including any subcontracts used for the project.

Financial Responsibilities of Award Recipient Institution: The Award Recipient Institution will keep systematic records of all expenditures relating to this award. Vouchers consisting of bills, invoices, cancelled checks, receipts, etc. will be retained by the Institution for five (5) years after the close of the award period and will be available for inspection by representatives of The Goldhirsh Foundation during normal business hours and upon reasonable notice throughout this period. The Foundation may, at its own expense, examine, audit, or have audited the records of the Institution insofar as they relate to activities supported by this Award.

Institutional Assurances: Award Recipients are expected to adhere to all national, state, and local regulations or foreign equivalent regarding the use of animals, radioactive or hazardous materials, and recombinant DNA in their research projects. If applicable, Award Recipients are expected to adhere to all federal, state and local regulations or foreign equivalent regarding human studies, including informed consent. The appropriate federal, state, and local guidelines or foreign equivalent with regard to scientific misconduct must also be in place and enforced at all institutions with which its Award Recipients are affiliated. It is the responsibility of the Institution to make sure that all approvals have been secured prior to releasing any award funds. The signature of the Authorized Institutional Representative on the Application Face Sheet confirmed this oversight.

Use of Funds: The laws of the United States place certain restrictions on the manner in which funds awarded to organizations by charitable trusts and foundations may be expended. The Goldhirsh Foundation Brain Tumor Research Award may be used to support Award Recipient's salary and fringe benefits; salaries and fringe benefits of personnel involved in the project; publication of scientific data; travel to scientific meetings; laboratory and data processing supplies; and other direct expenses such as equipment essential to the project. Salaries must be in proportion to the percent effort on the research project. However, percent effort may exceed the percent of total remuneration requested. Funds may not be used for new construction, the renovation of existing facilities, general operating costs, fundraising projects or endowments.

Indirect costs (institutional overhead) may not exceed 10% of direct costs or \$18,182 USD each year for the \$400,000 USD two-year awards or \$9,091 USD from the \$100,000 USD one-year awards. The grant monies which have been awarded, including any interest earned thereon, may only be used for the purposes stated in this agreement.

Payment Schedule: Annual award payments for the \$200,000 two-year award will be made in equal quarterly installments by The Medical Foundation, a division of Health Resources in Action starting July 1, 2010. The **Year 2 funding** is contingent upon the timely submission of Progress and Financial Reports and a satisfactory assessment of the Award Recipient's progress by the Scientific Advisory Committee. Payments for the \$100,000 One-Year Award will be made in equal quarterly installments starting on July 1, 2010.

Additional Support: The Goldhirsh Foundation assumes no obligation to provide other or additional support to the Award Recipient.

Patents, Copyright and Intellectual Property: The Award Recipient should follow their Institutions' patent, copyright, and intellectual property policies regarding discoveries that result from research conducted under this award. The Goldhirsh Foundation will not retain any rights to published results or patents that result from the research.

Publications: The Award Recipient is expected to share scientific findings in a timely manner through scientific publications and/or presentations. The Principal Investigator and Institution are encouraged to announce receipt of this award and accord suitable recognition and acknowledgment of the award to **The Goldhirsh Foundation**.

Confidentiality and Third Party Release: Application Materials, Scientific Progress and Final reports are considered confidential. At times, The Goldhirsh Foundation may contact third parties who have the necessary expertise to review the submitted materials and evaluate the project. Although, The Goldhirsh Foundation endeavors to protect the confidentiality of the reports, confidentiality cannot be guaranteed. The Goldhirsh Foundation will not be responsible for any damages resulting from the disclosure of the content of the reports to such third parties.

The Goldhirsh Foundation reserves the right to public acknowledgement of award information (i.e. recipient name, project title, award amount, project summary, etc.). Award information may be made available through The Goldhirsh Foundation website and other affiliated organization websites, printed publications and other media. Award Recipients will be notified of published award information when available.

Reversion

Should any of these events occur, The Goldhirsh Foundation may demand repayment of all unexpended portions of the award; moreover, all unpaid installments may be cancelled. The Institution is also required to give written notice if there is a change in the Institution's status as noted below.

- A determination, preliminary or otherwise, is made by the Internal Revenue Service or foreign equivalent of a tax collection and tax law enforcement agency that the grant does not constitute a qualifying distribution.
- The Institution fails to perform any of its duties, in the judgment of The Goldhirsh Foundation or its Scientific Advisory Committee, required by the Terms of the Awards.
- The Institution ceases to be exempt from income taxes under Internal Revenue Service Code or foreign equivalent of a tax collection and tax law enforcement agency or becomes a private foundation.
- There is a material change in the purpose, character, or method of operation of the Institution such as to jeopardize its tax status.

Reporting Requirements

Progress and Final Reports: Award Recipients will furnish, each year of the award, both Research Progress and Financial Expenditure Reports to The Goldhirsh Foundation on or before April 1st and Final Research and Financial Expenditure Reports within sixty (60) days following completion of the award period. It is the responsibility of the Award Recipient to email the Financial Expenditure Report Form to their Institutional Officer and ensure that the Goldhirsh Foundation receives the completed Report on or before the deadline.

Both Progress and Final Reports will request the original scientific abstract, scientific narrative on the progress of research since funding and its relation to the specific aims proposed in the Full Proposal, list of submitted and accepted publication update, current and pending support, any changes in institutional status, and a detailed financial accounting of expenditures by the Institution. The Program Officer will email the Report Forms one month prior to all deadlines.

No-Cost Extension and Unused Funds: A **no-cost extension for up to nine months** may be granted upon receipt and approval of the completed Research Progress Report and Financial Expenditure Report. The Progress Report Form will contain a section for justifying the extension, the unexpended balance, and a timeline for expenditure of the remaining funds. A final report is due at the completion of the extension period. Any portion of the grant not expended at the completion of the extended project period must be returned to The Goldhirsh Foundation within

thirty (30) days. Any funds remaining funds at the end of Year 1 of the award period may be carried over to Year 2. The carryover of funds must be noted in the Progress Report.

Re-Budgeting: Expenditures are expected to be within reasonable range of the Budget Form that was submitted in the Award Recipient's original Full Proposal Application. All requests for re-budgeting or reallocation of grant funds over \$20,000 must be conveyed and approved by The Goldhirsh Foundation. The request must include the revised allocation of resources along with specific detail and justification for the reallocation.

Transfer or Termination of Award: Awards are made to the Institution on behalf of the Award Recipients. In the event that the Award Recipient leaves that Institution, the Award is not transferable without prior approval of The Goldhirsh Foundation. If approved, the Award Recipient will be responsible for transferring any unexpended portion of the distributed Award amount to the new institution. The Award Recipient is also responsible for notifying the Goldhirsh Foundation of any new Institution address or contact changes. If the project is terminated for any reason, any unused funds must be returned to The Goldhirsh Foundation within sixty (60) days of termination of research activity.